Moderator:
Angie Fisher
CIM Group

Brendan Finn
Artivest

Richard Thoeny
Docupace

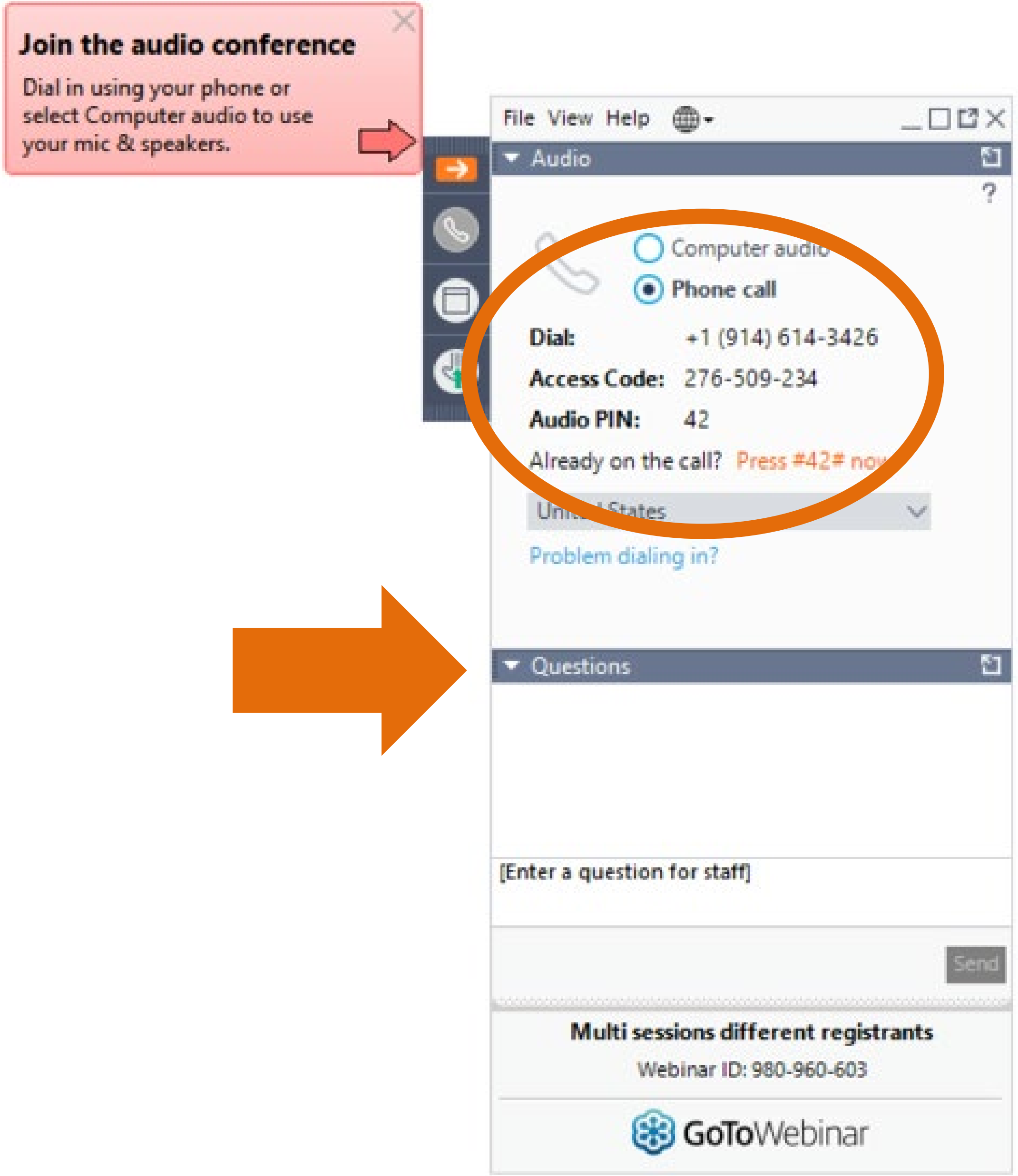
Cheryl Wheeler
SS&C ALPS

BLUE VAULT™

Technology Series

How is Technology Making Investing in Alts Easier?

BLUE VAULT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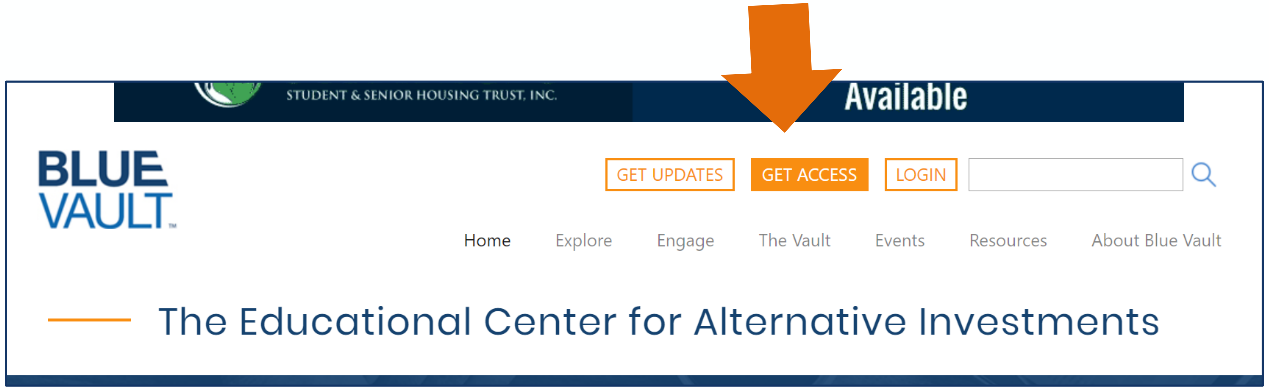

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About the Panel

Moderator: Angie Fisher

Angie Fisher serves as Vice President of Operations, Strategic Planning & Projects at CIM Group®. In this role, she is responsible for new fund on-boarding, capital markets projects supporting sales, marketing, legal, compliance, accounting and shareholder relations along with operational transfer agent aspects of retail, institutional and global products.

Prior to joining CIM, Ms. Fisher served in a similar capacity at Cole Capital. Her experience also includes various leadership roles at Edward Jones, OppenheimerFunds, Davis Funds, and American Century Investments where she worked with brokerage clients, transfer agents, operations teams, and project teams implementing new business and process improvement initiatives.

Ms. Fisher received her bachelor's degree in business administration and her master's degree in organizational management. She holds FINRA Series 7 and 63 licenses. In addition, she is certified in Agile methodologies, is a certified Agile product owner and has a CMFC® designation.

Brendan Finn

Brendan Finn has nearly 20 years of industry experience and is currently Director of the Strategic Relationships Team at Artivest (formerly Altegris), where he and his team are focused on the overall distribution efforts within the wire houses, independent broker dealers, RIAs and custodians. Prior to joining Artivest, Brendan was most recently an Assistant Vice President with BNY Mellon Asset Management, where he focused on mutual fund, separate account and sub-advised distribution for approximately 20 different boutique asset managers under the BNY Mellon umbrella. Brendan's focus at BNY Mellon was with home office and analysts at major wire houses a nd independent broker dealers.

Early in his career, Brendan spent time on the floor of the NYSE and worked within wealth management at Solomon Smith Barney. Brendan enjoys coaching his three children in soccer and other sports. He is a graduate of the University of Delaware in Newark with BA in history and holds Series 3, 7, 30 and 63 licenses.

Richard Thoeny

Mr. Thoeny's career spans 25+ years all within financial services either running and managing brokerage and clearing firms or developing product for financial services. Prior to joining Docupace as Vice President of Product Management in 2014, Mr. Thoeny held positions including SVP of Product Management at Fetter Logic; SVP of Operations for COR Clearing; VP of Product Management with Talisys Software Systems and co-founded HybridTrading, a financial services firm specializing in both discount brokerage and advisory services. Mr. Thoeny graduated from Gonzaga University with a B.A. in Finance, was an equities and options trader and held FINRA licenses 4, 6, 7, 24, and 63 and currently holds Department of Homeland Security cyber security certifications CIA, CW TTP's 1 and SSI.

Cheryl Wheeler

Cheryl has been with SS&C, formerly DST, since 1996, where actively she contributed to the development of and DST's support of the NSCC's Fund/SERV and Networking processes, virtually eliminating paper processing for DST's mutual-fund clients. Her tenure also includes product support and development for DST Vision and DST FanMail. For the past 7 years, Cheryl has been immersed in business and product development for SS&C's Transfer Agency/Outsourcing for Retail Alternative asset managers. She represents SS&C TA on the industry committees and working groups that strive to ensure technology can indeed enhance and improve the process of investing in Alternative products.

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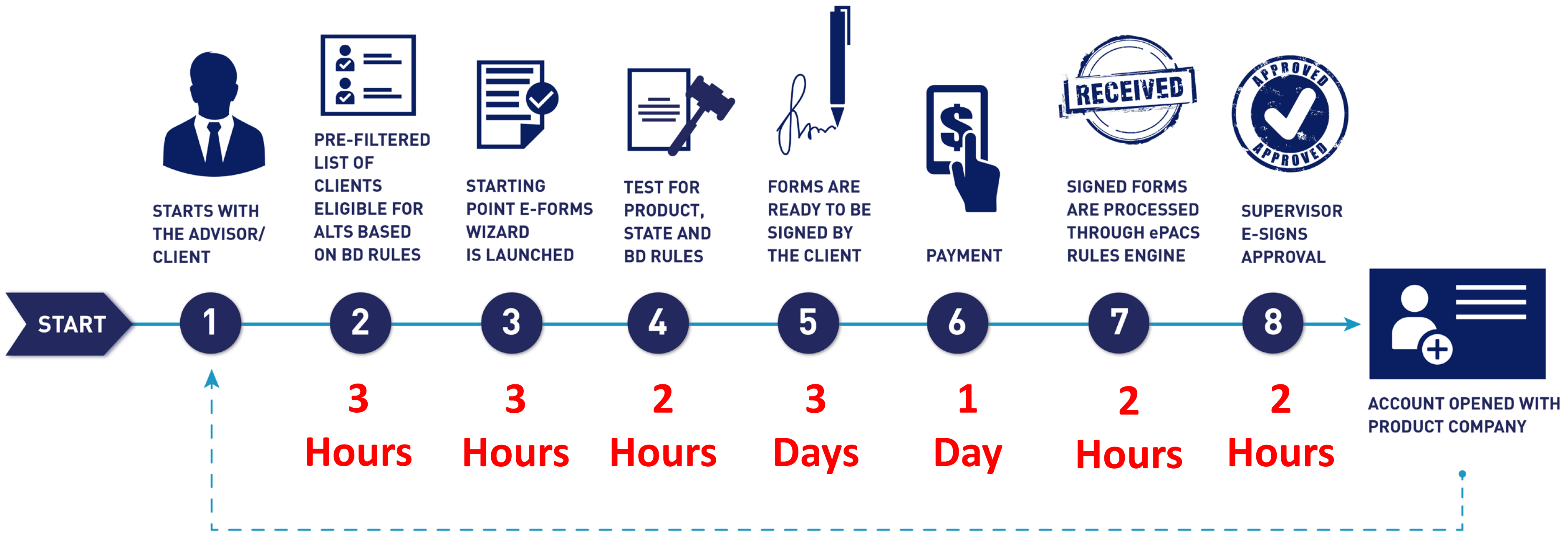
DOCUPACE OVERVIEW

- **Founded in 2002**:
  - *Focused on financial services.*
  - *Based in Los Angeles, CA.*
  - *Introduced STP in 2009.*
  - *Staffed by industry pros.*
- **Deployed at 500+ financial services firms:**
  - *120,000+ end-users.*
  - *Largest SEC/FINRA compliant provider.*
- **Client Centric:**
  - *Advisory Council.*
  - *Highly-configurable solutions.*
  - *Proven deployment methodology.*

Docupace TECHNOLOGIES

DOCUPACE AI SOLUTION DESIGNED FOR TIME SAVINGS

2-3 DAYS FOR A SUBSCRIPTION PROCESSED NIGO-FREE USING DOCUPACE'S AI SOLUTION



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Technology Series

How is Technology Making Investing in Alts Easier?

How are you using approaching Custodians to incorporate this technology?

How would each of you suggest our participants approach their firms to adopt these practices?

Questions

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WEBINAR

Q1 2019 NTR/BDC Quarterly Review Summary

June 18 @ 2:00 pm - 2:30 pm

Stacy Chitty | *Moderator*
Luke Schmidt | *Speaker*
James Sprow | *Speaker*

WEBINAR

Finding Value and Opportunities in the Commercial Real Estate Market

June 27 @ 2:00 pm - 3:00 pm

Stacy Chitty | *Moderator*
James Barry | *Panelist*

WEBINAR

A Deeper Look at Blackstone, Bluerock, and CION

July 11 @ 12:00 am

WEBINAR

Are Interval Funds Performing?

July 16 @ 2:00 pm - 2:30 pm

Stacy Chitty | *Moderator*
James Sprow | *Presenter*
Luke Schmidt | *Presenter*

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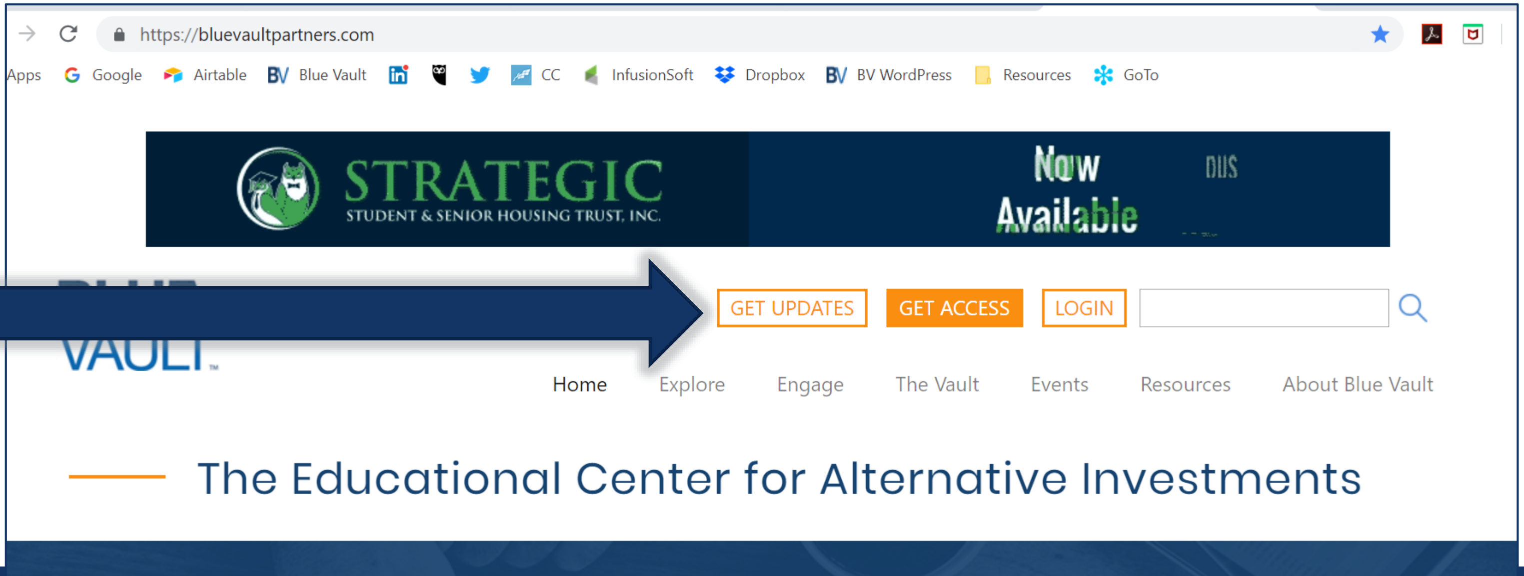

Upcoming Webinars:

Performance Series Webinar:

A Deeper Look at Cottonwood and AR Global

Sept. 10th at 2:00 pm EDT

Alts Series Webinar:

Q2 2019 "Vault View" NTR & BDC Quarterly Review

Sept. 17th at 2:00 pm EDT

Performance Series Webinar:

A Deeper Look at CIM and SmartStop

Sept. 24th at 2:00 pm EDT

For information and registration links, go to
www.bluevaultpartners.com/event-calendar

Thank You!