

MARK M. GOLDBERG

Chief Executive Officer
Griffin Capital Securities, LLC



Mark M. Goldberg serves as Chief Executive Officer of Griffin Capital Securities, LLC, a FINRA-registered broker-dealer, where he is responsible for the execution of the firm's strategic direction. Mr. Goldberg oversees Griffin Capital Securities' equity sales programs, marketing integration and operational processes. He is also responsible for driving penetration of the firm's distribution channels. In addition, Mr. Goldberg serves as Executive Vice President of Griffin Capital Company, LLC, where he further develops the company's strategic vision and policies.

As a financial services veteran with more than 30 years of experience, Mr. Goldberg previously served as Managing Director of W.P. Carey Inc., Chairman of Carey Financial, LLC, President of W.P. Carey Investment Management, LLC, as well as other senior executive positions at affiliated companies. Mr. Goldberg successfully transformed W.P. Carey from a single-product company to an asset management firm with more than \$13 billion in assets under management. Before joining W.P. Carey, Mr. Goldberg served as Chief Executive Officer and President of AIG-Royal Alliance, Executive Vice President of SunAmerica Financial Network and President of AIG Securities, Tokyo.

EDUCATION

- Bachelor of Arts in Economics, Yeshiva University
- Studied finance in the graduate program of CUNY, Baruch College

INDUSTRY ACTIVITIES

- Former Chair Emeritus, Chairman and Board Member, Investment Program Association
- 2016 Lifetime Achievement Award recipient, Investment Program Association
- Board Member, St. Mary's Healthcare System for Children
- Board Member, Invest in Others Charitable Foundation
- Founding Member, Financial Services Institute
- Advisory Board Member, Simply Money™